

# CLOSE OPERATOR ALGEBRAS

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# A METRIC ON SUBALGEBRAS OF $\mathcal{B}(\mathcal{H})$

KADISON-KASTLER 1972

## DEFINITION

Let  $A, B$  be  $C^*$ -subalgebras of  $\mathcal{B}(\mathcal{H})$ . The **Kadison-Kastler distance**  $d(A, B)$  is the infimum of  $\gamma > 0$  such that for all operators  $x$  in the unit ball of one algebra, there exists  $y$  in the unit ball of the other algebra with  $\|x - y\| < \gamma$ .

## THEME OF THE TALK

What can be said when  $d(A, B)$  is small?

- Aim: Give survey of what is known.
- See similarities and differences between  $C^*$ -algebra and von Neumann algebra settings.
- Establish connections to similarity and derivation problems.

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# QUESTIONS ABOUT CLOSE OPERATOR ALGEBRAS

## EASY CONSTRUCTION

For a unitary  $u$ ,  $d(A, uAu^*) \leq 2\|u - 1_{\mathcal{H}}\|$ .

Is this the only way of constructing a close pair of operator algebras?

## MORE GENERALLY, WE HAVE A RANGE OF QUESTIONS

Suppose  $A, B \subset \mathcal{B}(\mathcal{H})$  have  $d(A, B)$  small.

- 1 Must  $A$  and  $B$  share the same properties and invariants?
  - 2 Must  $A$  and  $B$  be  $*$ -isomorphic?
  - 3 Must  $A$  and  $B$  be spatially isomorphic? Can one find a unitary implementing a spatial isomorphism in  $(A \cup B)''$ ?
  - 4 Is there a unitary  $u \approx 1_{\mathcal{H}}$  with  $uAu^* = B$ ?
- Kadison-Kastler conjectured **??**. Open for **separable**  $C^*$ -algebras
  - **??** is open for von Neumann algebras. Fails for separable  $C^*$ -algebras.

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# SOME PROPERTIES AND INVARIANTS

## TYPE DECOMPOSITION

### THEOREM (KADISON-KASTLER 1972)

*Close von Neumann algebras have the same type decompositions.*

Precisely, suppose:

- $M, N$  are von Neumann algebras on  $\mathcal{H}$  with  $d(M, N)$  sufficiently small.
- $p_{I_n}, p_{II_1}, p_{II_\infty}, p_{III}$  be the central projections in  $M$  onto the parts of types  $I_n, II_1, II_\infty$  and  $III$  respectively.
- $q_{I_n}, q_{II_1}, q_{II_\infty}, q_{III}$  corresponding projections for  $N$ .

Then each  $\|p_j - q_j\|$  is small.

They also show that if  $d(M, N)$  is small ( $< 1/10$ ), then  $M$  is a factor if and only if  $N$  is a factor.

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## THEOREM (PHILLIPS 1974)

Suppose  $A$  and  $B$  are sufficiently close  $C^*$ -algebras. Then

- $A$  and  $B$  have isomorphic and close ideal lattices.
- This takes primitive ideals to primitive ideals and is a homeomorphism for the hull-kernel topology.
- $A$  is type I if and only if  $B$  is type I.

By isomorphic and close ideal lattices, we mean that there is a lattice isomorphism  $A \supseteq I \mapsto \theta(I) \subseteq B$  such that  $d(I, \theta(I))$  is small for all  $I$ .

## COROLLARY

If  $d(A, B)$  is sufficiently small and  $A$  is abelian, then  $A \cong B$ .



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## DEFINITION

For  $A, B \subset \mathcal{B}(\mathcal{H})$  write  $A \subseteq_\gamma B$  if given  $x \in A$ , there exists  $y \in B$  such that  $\|x - y\| \leq \gamma \|x\|$ . In this case say  **$A$  is  $\gamma$ -contained in  $B$** .

Similar range of questions:

- 1 Must a sufficiently small near containment  $A \subset B$  give rise to an embedding  $A \hookrightarrow B$ ?
- 2 If so, can an embedding  $\theta : A \hookrightarrow B$  with  $\|\theta - \iota\|$  small be found?
- 3 Must a sufficiently small near containment arise from a small unitary conjugate of a genuine inclusion?

# A CB-VERSION OF THE METRIC

- It's natural to take matrix amplifications of operator algebras
- $A \subset \mathcal{B}(\mathcal{H})$ , gives  $M_n(A) \subseteq M_n(\mathcal{B}(\mathcal{H})) \cong \mathcal{B}(\mathcal{H}^n)$ .

## DEFINITION

Given  $A, B \subset \mathcal{B}(\mathcal{H})$ , define

$$d_{cb}(A, B) = \sup_n (M_n(A), M_n(B)).$$

Similarly  $A \subseteq_{cb, \gamma} B$  iff  $M_n(A) \subseteq_{\gamma} M_n(B)$  for all  $n$ .

## THEOREM (KHOSHKAM 1984)

Suppose  $A, B$  are  $C^*$ -algebras with  $d_{cb}(A, B) < 1/3$ . Then  $K_0(A) \cong K_0(B)$  and  $K_1(A) \cong K_1(B)$ .

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## ARVESON'S DISTANCE FORMULA

Let  $A \subset \mathcal{B}(\mathcal{H})$  be a  $C^*$ -algebra and  $T \in \mathcal{B}(\mathcal{H})$ . Then

$$d(T, A') = \|\text{ad}(T)|_A\|_{cb}/2.$$

Here  $\text{ad}(T)|_A$  is the spatial derivation  $x \mapsto [T, x] = Tx - xT$ .

## CONSEQUENCE

$$\bullet A \subseteq_{\gamma, cb} B \implies B' \subseteq_{\gamma, cb} A'$$

## TWO QUESTIONS

- 1 Are  $d$  and  $d_{cb}$  locally equivalent? i.e. for each  $A$  is there some  $K_A$  such that  $d_{cb}(A, \cdot) \leq K_A d(A, \cdot)$ ?
- 2 How does commutation behave in the metric  $d$ ?



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# THE SIMILARITY PROPERTY

## QUESTION (KADISON '54)

Let  $A$  be a  $C^*$ -algebra. Is every bounded homomorphism  $\pi : A \rightarrow \mathcal{B}(\mathcal{H})$  similar to a  $*$ -homomorphism?

- Still open. If yes, say  $A$  has the **similarity property**.
- Yes if  $A$  has no bounded traces,  $A$  is nuclear.
- For  $\text{II}_1$  factors  $M$ , yes when  $M$  has Murray and von Neumann's property  $\Gamma$ .

## REFORMULATION USING CHRISTENSEN, KIRCHBERG

Let  $A$  be a  $C^*$ -algebra. Then  $A$  has the similarity property if and only if there exists a constant  $K > 0$  such that for every representation  $\pi : A \rightarrow \mathcal{B}(\mathcal{H})$ , we have  $\|\text{ad}(T)|_{\pi(A)}\|_{cb} \leq K \|\text{ad}(T)|_{\pi(A)}\|$ ,  $T \in \mathcal{B}(\mathcal{H})$ .

- If  $A$  has SP, then  $\exists K$  such that  $A \subseteq_{\sim} B \implies B' \subseteq_{cb, K\gamma} A'$ .

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- If  $A$  has SP, then  $\exists K$  such that  $A \subseteq_{\gamma} B \implies B' \subseteq_{cb, K\gamma} A'$ .

# WHEN ARE $d_{cb}$ AND $d$ EQUIVALENT?

## THEOREM (CHRISTENSEN, SINCLAIR, SMITH, W)

*Suppose  $A$  is a  $C^*$ -algebra with the similarity property. Then there exists  $\gamma_0 > 0$  such that if  $d(A, B) < \gamma_0$ , then  $B$  has the similarity property.*

- $\gamma_0$  depends only on how well  $A$  has the similarity property;
- Also obtain quantitative estimates on how well  $B$  has similarity property.

## COROLLARY

If  $A$  has similarity property, then  $\exists C > 0$  such that  $d_{cb}(A, B) \leq Cd(A, B)$  for all  $B$  and so if  $d(A, B)$  small, then  $K_*(A) \cong K_*(B)$ .

In fact this characterises the similarity property for  $A$ . Further, the similarity problem has a positive answer if and only the map  $A \mapsto A'$  is continuous on  $C^*$ -subalgebras of  $\mathcal{B}(\mathcal{H})$  (for a separable infinite dimensional Hilbert space).

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In fact this characterises the similarity property for  $A$ . Further, the similarity problem has a positive answer if and only the map  $A \mapsto A'$  is continuous on  $C^*$ -subalgebras of  $\mathcal{B}(\mathcal{H})$  (for a separable infinite dimensional Hilbert space).



# MORE INVARIANTS AND PROPERTIES

## PROPOSITION (CHRISTENSEN, SINCLAIR, SMITH, W.)

Suppose  $d(A, B) < 1/14$ . Then  $A$  has real rank zero iff  $B$  has real rank zero.

The definition of real rank zero (the invertible self-adjoints are dense in the self-adjoints) wasn't very helpful. Used every hereditary subalgebra has an approximate unit of projections reformulation.

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*What about higher values of the real rank, stable rank? It's unknown whether stable rank one transfers to sufficiently close algebras.*

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Suppose  $d_{cb}(A, B) < 1/42$ . Then  $A$  and  $B$  have isomorphic Cuntz semigroups.

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This uses Khoskham's work, to get an isomorphism between  $K$ -theories, a method for transferring trace spaces from CSSW, then the Cuntz semigroup result (which gives a method for transferring quasi-trace spaces in a homeomorphic fashion, extending the map at the level of traces from CSSW).

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- Tensorial absorption a key theme in operator algebras, since Connes showed that an injective  $\text{II}_1$  factor  $M$  is McDuff, i.e.  $M \cong M \bar{\otimes} R$ , where  $R$  is the hyperfinite  $\text{II}_1$  factor.

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Suppose  $A$  and  $B$  are  $\sigma$ -unital and  $d(A, B) < 1/252$ . If  $A$  is stable, and has stable rank one, then  $B$  is stable.

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Suppose  $M$  and  $N$  are von Neumann algebras, with  $d(M, N)$  sufficiently small and  $M$  injective. Then there exists a unitary  $u \in (M \cup N)''$  such that  $uMu^* = N$  and  $\|u - 1\| \leq O(d(M, N)^{1/2})$ .

This gives the strongest form of the conjecture for injective von Neumann algebras. Also:

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Suppose  $M \subseteq_\gamma N$  for  $\gamma$  sufficiently small, where  $M$  is an injective von Neumann algebra. Then there exists a unitary  $u \in (M \cup N)''$  with  $uMu^* \subseteq N$  and  $\|u - 1\| \leq 150\gamma$ .

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- Consider a ucp map  $\Phi : \mathcal{B}(\mathcal{H}) \rightarrow N$  with  $\Phi|_N = \text{id}_N$ .
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Subsequently, Johnson extensively studied these ideas. He called a pair of Banach algebras  $(A, B)$  AMNM, if every almost multiplicative map  $T : A \rightarrow B$  is near to a multiplicative map  $S : A \rightarrow B$ .

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### COUNTEREXAMPLE (CHOI, CHRISTENSEN '83)

For  $\epsilon > 0$ , there exist non-isomorphic amenable  $C^*$ -algebras  $A, B \subset \mathbb{B}(H)$  with  $d(A, B) < \epsilon$ .

- Examples are not separable.

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For  $\epsilon > 0$ , there exist two faithful representations of  $C([0, 1], \mathcal{K})$  on  $H$  with images  $A, B$  s.t.  $d(A, B) < \epsilon$ , yet any isomorphism  $\theta : A \rightarrow B$  has  $\|\theta(x) - x\| \geq \|x\|/70$  for some  $x \in A$ .

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The uniform topology isn't the right topology for maps between  $C^*$ -algebras. Use the point norm-topology instead.

## POINT NORM AMNM:

Given  $C^*$ -algebra  $A$ , a finite subset  $X$  of the unit ball of  $A$  and  $\varepsilon > 0$ , does there exist a  $Y$  such that cpc maps  $T_Y : A \rightarrow B$  which are almost multiplicative on  $Y$  are close to a linear map  $T_{X,\varepsilon} : A \rightarrow B$  with

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Now an argument based on Bratelli's work on classifying type III factor representations of AF algebras, gives:

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Now an argument based on Bratelli's work on classifying type III factor representations of AF algebras, gives:

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## RECALL (JOHNSON '82)

For  $\epsilon > 0$ , there exist two faithful representations of  $C([0, 1], \mathcal{K})$  on  $H$  with images  $A, B$  s.t.  $d(A, B) < \epsilon$ , yet any isomorphism  $\theta : A \rightarrow B$  has  $\|\theta(x) - x\| \geq \|x\|/70$  for some  $x \in A$ .

## QUESTION

*Which  $C^*$ -algebras  $A$  have the property that when  $d(A, B)$  is sufficiently small, there exists an isomorphism  $\theta : A \rightarrow B$  with  $\sup_{x \in A, \|x\| \leq 1} \|\theta(x) - x\|$  small?*

- Unital subhomogeneous algebras of bounded degree satisfy this (Johnson).
- What about other algebras,  $M_{2^\infty}$ ?

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# NEAR CONTAINMENTS

Recall that if  $M \subseteq_\gamma N$  and  $M$  is injective, then there exists a unitary  $u \approx 1$  with  $uMu^* \subseteq N$ .

## THEOREM (HIRSHBERG, KIRCHBERG, W '11)

*Let  $A$  be separable and nuclear and suppose  $A \subseteq_\gamma B$  for  $\gamma < 10^{-6}$ . Then  $A \hookrightarrow B$ .*

## KEY INGREDIENTS

- A strengthening of the completely positive approximation property (due to Kirchberg) for nuclear  $C^*$ -algebras: the approximating maps can be taken to be convex combinations of cpc order zero maps.
- A perturbation theorem for order zero maps from Christensen, Sinclair, Smith, W, Winter.
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# NON INJECTIVE ALGEBRAS

Consider a free, ergodic, probability measure preserving action  $\alpha : \Gamma \curvearrowright (X, \mu)$  of a discrete group  $\Gamma$  and form the crossed product

$$L^\infty(X) \rtimes_\alpha \Gamma.$$

This is a  $\text{II}_1$  factor, generated by  $A = L^\infty(X)$  and unitaries  $(u_g)_{g \in \Gamma}$  satisfying

$$u_g f u_g^* = f \circ \alpha_g^{-1}, \quad u_g u_h = u_{gh}, \quad g, h \in \Gamma, \quad f \in L^\infty(X).$$

Note:

- $L^\infty(X)$  injective;
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- First factorise  $N = N_0 \overline{\otimes} R$ , conjugating by a unitary so that the copies of  $R$  are identical.
- As  $M$  is McDuff, it has the similarity property. This enables us to transfer to and from a standard form.
- Use the embedding theorems for injective von Neumann algebras to embed each  $(L^\infty(X) \cup \{u_g\})''$  into  $N_0$ .
- Can use these embeddings to identify  $N_0$  as a twisted crossed product, by a bounded element of  $H^2(\Gamma, \mathcal{U}(L^\infty(X)))$  — this will be cohomologically trivial by results of Monod and so  $M \cong N$ .
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